


## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: ) CERTIFICATE OF EFS WEB FILING  
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OTFRIED SCHWARZKOPF, ET AL ) I hereby certify that this correspondence is  
) being electronically filed via EFS-Web on  
Serial No. 10/560996 ) this 27<sup>th</sup> day of March, 2007.  
)  
Filing Date: 02/21/07 )  
)  03/27/2006  
) Marlene Kubiak Date  
AXIAL PISTON COMPRESSOR, )  
PARTICULARLY A COMPRESSOR )  
FOR THE AIR CONDITIONING )  
SYSTEM OF A MOTOR VEHICLE )


INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant would like to make of record the patents listed on the attached PTO Form 1449A. These documents may be material to the patentability of the above-captioned invention. Copies of the U.S. patents are not enclosed. A copy of the search report of German Patent Application No. 103 29 393.0 and the International Search Report of the PCT Application PCT/EP2004/005106 dated July 15, 2004 are also enclosed.

Respectfully submitted,

  
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414-271-7590  
Atty. Docket No. 825-00191

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

of

**Complete if Known**

Application Number	10/560/996
Filing Date	February 21, 2007
First Named Inventor	Otfried Schwarzkopf
Art Unit	3746
Examiner Name	
Attorney Docket Number	825-00191

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,815,358	03/28/1989	Richard Smith	
		US- 4,836,090	06/06/1989	Richard Smith	
		US- 4,077,269	03/07/1989	Robert Hodgkinson	
		US- 5,105,728	04/21/1992	Isao Hayase	
		US- 6,139,282	10/31/2000	Masaki Ota	
		US- 5,573,379	11/12/1996	Kazuya Kimura	
		US- 6,076,449	06/20/2000	Masahiro Kawaguchi	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		Germany 197 49 727 A	06/10/1999	Peter Kuh		
		Germany 198 39 914 A	03/09/2000	Frank Obrist		
		Germany 25 24 148	01/15/1976	Julius Mackerle		
		Germany 195 14 748 A	11/16/1995	Kazuya Kimura		
		Japan 01232182	09/18/1989			

Examiner  
Signature

/Michael Leslie/

Date  
Considered

03/02/2009

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /ML/